

Service Provider Directory & Guide 2003

HedgeWorld Yellow Pages Directory: Listing of Service Providers by Category

PRIME BROKERS

CATEGORY SPONSOR

Fortis Bank

Contact: Fred Dellelijn
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Phone: (31) 20 527 1543
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URL: <http://www.informationbanking.fortisbank.com/>

Specialty: *Prime Fund Solutions is a new service platform which draws on the global strengths of Fortis. It merges all the products and benefits of the previously distinct Fund Services and PrimeBanking activities making it the sole provider of an integrated package of services to hedge funds and funds-of-funds. Such products are unrelated to brokerage and research thereby enabling Fortis to work as a strategic partner with its clients in offering unbiased service and advice. Through its extensive experience with offshore activities, including comprehensive fund administration, financing of trade positions, clearing transactions, safekeeping of portfolios and covering of short sales, Fortis offers a one-stop-shop for all of a funds administrative and financing needs. Alternatively, clients may choose selective services which are complimentary to their existing service structure.*

Regions served: Asia, Europe, Latin America, Offshore, US & North America

FEATURED LISTINGS

ABN AMRO Prime Brokerage Services

Contact: Christine McGrath Kamrass
Title: Managing Director
Address: Prime Brokerage Services 350 Park Avenue
New York NY 10022 USA
Phone: 212-251-3403 / (800) 838-6096
Fax:
Email: cmcgrath@abnamroprime.com
URL: <http://www.abnamroprime.com>

Specialty: *ABN AMRO Prime Brokerage Services provides a robust, comprehensive suite of prime brokerage services. Our hallmarks consummate client service, sophisticated and innovative web-based technology and portfolio reporting, and turn-key office facilities in New York, Dallas, Boston, San Francisco, and Atlanta. ABN AMRO Prime Brokerage Services is a division of ABN AMRO Incorporated, a U.S. indirect, wholly-owned subsidiary of Netherlands-based ABN AMRO N.V., one of the largest banks in the world with \$550 billion in assets operating in over 60 countries.*

Regions served: US & North America

Barclays Capital Global Prime Brokerage Group

Contact: Kevin Mirabile
Title: Managing Director
Address: 222 Broadway
New York NY 10038 USA
Phone: (212) 412-2323
Fax:
Email: rosemarie.lakeman@barclayscapital.com
URL: <http://www.barcap.com>

Specialty: *At Barclays Capital, our Global Prime Brokerage Group offers comprehensive services to help you succeed in today's market. We provide intelligent financing solutions across multiple asset classes. Our capital strength is your capital efficiency.*

Regions served: US & North America

Bear Stearns Securities

Contact: Ron Suber
Title: Senior Managing Director
Address: One Sansome Street
San Francisco CA 94104 USA
Phone: (415) 772-2302
Fax: (415) 772-2401
Email: rsuber@bear.com
URL: <http://www.bear.com>

Specialty: *Prime Broker Services*
Regions served: US & North America

Neuberger Berman

Contact: Raymond A. Catroppa or Stephen T. Murphy
Title:
Address: 605 3rd Avenue 38th Floor
New York NY 10158 USA
Phone: (877) NB1-PICS
Fax: (212) 476-5420
Email: pics@nb.com
URL: <http://www.nb.com>

Specialty: *Are you starting a new fund? Are you a seasoned professional seeking more personalized service? Take advantage of five decades of prime brokerage know-how and a state-of-the-art turnkey office facility. Visit us at www.nb.com/pics.*

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RBC Capital Markets

Contact: Daniel Dorenbush
Title: Director, Prime Brokerage
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Toronto ON M5J 2W7 Canada
Phone: (416) 842-6438
Fax: (416) 842-6444
Email: Daniel.Dorenbush@rbcds.com
URL: http://https://www.rbccm.com/0,,cid-15897_,00.html

Specialty: *Our Prime Brokerage platform enables North American and offshore alternative investment fund managers to reduce the administrative responsibilities of managing money and focus on maximizing performance. Clients gain access to real time, web-based portfolio management, leading research, trade execution and new issue participation. As a leading North American prime broker, we continually strive to set new boundaries in service. Please visit us at www.rbccm.com/primebrokerage.*

Regions served: US & North America

Spear- Leeds & Kellogg

Contact: James Rogan
Title:
Address: 120 Broadway
New York NY 10271 USA
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Email: jrogan@slk.com
URL:

Specialty: *SLK provides optimal prime brokerage, clearing and execution solutions to hedge funds. Providing direct access to all U.S. equity, and option products, clients can trade via REDIPlus, SLKs proprietary trading platform and execute baskets via TLW Securities.*

Regions served: US & North America

GENERAL LISTINGS

Arnhold and S. Bleichroeder- Inc.

Contact: John L. Pfuhler- III
Title:
Address: 1345 Sixth Avenue
New York NY 10105-4300 USA
Phone: (212) 698-3325
Fax:
Email: john.pfuhler@asbinc.com
URL:

Specialty: *We specialize in Prime Brokerage and International Securities Lending. For over 25 years ASB Inc has supported Hedge Funds in the location, execution and settlement of international securities. We are able to support your short selling in over 50 countries.*

Regions served: US & North America

Fimat International Banque SA (UK Branch)

Contact: Philippe Teilhard de Chardin
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Phone: +44 207 676 8536
Fax: +44 207 676 8145
Email: philippe.teilhard@fimat.co.uk
URL: <http://www.fimat.com>

Specialty: *Fimat Global Fund Services group is a prime brokerage activity dedicated to the needs of Alternative Investment participants. The group offers a complete range of brokerage activities encompassing transaction, clearing, settlement, custody, financing and reporting of various asset classes.*

Regions served: Asia, Europe, Offshore, US & North America

Fortis Bank

Contact: Joe Spillane
Title:
Address: 520 Madison Avenue
New York NY 10022 USA
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Fax: (212) 909-7924
Email: PrimeBanking@fbfinance.com
URL: <http://www.informationbanking.fortisbank.com/>

Specialty: *PrimeBanking provides an integrated package of services to leveraged funds and fund-of-funds unrelated to the brokerage. Services include: Start up support, financing, leverage, settlement, clearing, custody, reporting, stock lending & execution.*

Regions served: US & North America

Fortis Bank

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Email: raman.khosla@fortisbank.com
URL:

Specialty: *PrimeBanking provides an integrated package of services to leveraged funds and fund-of-funds unrelated to the brokerage. Services include: Start up support, financing, leverage, settlement, clearing, custody, reporting, stock lending & execution.*

Regions served: Europe

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Global Securities (USA), Inc.

Contact: Selim Cevikel
Title: President and CEO
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New York NY 10022 USA
Phone: (212) 317-6830
Fax: (212) 317-9727
Email: selimc@global.com.tr
URL: <http://www.global.com.tr>

Specialty: *Global Securities (USA), Inc. provides broker dealer services to U.S. Institutional Investors in the emerging markets of Turkey, Romania, Azerbaijan, and Kazakhstan. Investors have access to research on these markets from its parent company in Turkey, Global Menkul Degerler A.S.*

Regions served: Asia, Europe, Latin America, Offshore, US & North America

Hedge Fund Solutions

Contact: Jim Zurlo
Title:
Address: 20 Exchange Place
New York NY 10005 USA
Phone: 212-293-3475
Fax: 212-785-0495
Email: jzurlo@hfs-llc.com
URL:

Specialty: *Hedge Fund Solutions is the comprehensive source for commission recapture, soft dollar, outsource trading, capital introduction, and other advisory services that enable hedge fund managers to run their funds more efficiently and reclaim their most important asset, time.*

Regions served: US & North America

Lehman Brothers

Contact: Alan Pace
Title:
Address: One Broadgate
London EC2M 7HA United Kingdom
Phone: 44 (0)20 7256 4072
Fax: 44 (0)20 7526 7332
Email: apace@lehman.com
URL:

Specialty: *One of the leading global investment banks in the world that can harness its resources to service your financial needs*

Regions served: US & North America

Quadriserv, Inc.

Contact: Mr. Joseph Weinoffer
Title: CEO
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New Haven CT 06510 USA
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Fax:
Email: sales@quadriserv.com
URL: <http://www.quadriserv.com/>

Specialty: *We disseminate stock loan transactions and offerings from multiple participants in real time throughout the trading day. These transactions include domestic and international stocks, ETFs and ADRs. Users can create their own customized lists to track issues in their portfolio or of special interest. Quadriserv is also a broker in stock loan and single stock futures transaction, providing intermediary services to enable hedge funds to trade more efficiently.*

Regions served: US & North America

SEB Skandinaviska Enskilda Banken

Contact: Gunnar Wiljander & Fredrik Barnekow
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URL: <http://mb.sebank.se/>

Specialty: *SEB offers global equity finance services with an emphasis on the Northern European markets. Our expertise in Scandinavian stock lending, equity swaps and Prime Brokerage has led us to be ranked no. 4 European Prime Broker by EuroHedge.*

Regions served: Europe, US & North America

Triad Securities Corp

Contact: Charles M Fisher
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Fax:
Email: cfisher@triadsecurities.com
URL:

Specialty: *Providing Prime Broker services to small hedge funds and trading partnerships. Offering proprietary web based reporting as well as full service and online trading. "The New Issue Service" our in house research covers both the US and International markets.*

Regions served: US & North America

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UBS Paine Webber & UBS Warburg- LLC

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Title:

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Fax: (212) 713-4404

Email: pvahey@ubspainewebber.com

URL: <http://www.globalprimebroker.com>

Specialty: *One of our many unique services we offer to our clients is the Global Prime Brokerage Execution desk which serves as a "buy side" execution desk. This service allows portfolio managers to have the advantage of professional order execution throughout the "street" without the fixed expense of personnel and systems.*

Regions served: US & North America

ADDITIONAL LISTINGS

Banc of America Securities LLC Rob Davis
New York NY (212) 583-8636

Corpnex plc Claudine Bassan
London 00442076488400

Credit Lyonnais Rouse Ltd Ian Gjertsen
London 0 207 214-6647

Deutsche Banc Alex. Brown Andrew Chacos
New York NY (212) 469-5117

Fiserv Securities Frank A. Magnani Senior Vice President
New York NY 212 898.6230

Goldman Sachs Jeffrey Strauss
New York NY (212) 902-4613

Jefferies & Co David Conover
New York NY (212) 284-2429

Koenig Venture Partners Francis Martin Koenig- Jr.
Beverly Hills CA (310) 246-3200

Lehman Brothers John Seyda
New York NY (212) 526-0715

Merrill Lynch Mark Coville
Jersey City NJ (201) 557-3706

Merrill Lynch International Mark Whitehead
London (44) 207-892-4849

Morgan Stanley Prime Brokerage
New York NY (800) 354-3681 or (212) 762-5000

Penson Financial Services- Inc D. Throckmorton- V. Colwell
Dallas TX (214) 765-1307

Salomon Smith Barney Global Prime Brokerage Amy Schneidkraut
New York NY (212) 723-4813

Schroder & Co.
London (212) 492-6000